PTO/SB/21 (02-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/802.586 **TRANSMITTAL** Filing Date 3/17/04 **FORM** First Named Inventor Munter et al. Art Unit (to be used for all correspondence after initial filing) not vet assigned Examiner Name not yet assigned Attorney Docket Number 111027-136084 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please **Terminal Disclaimer Extension of Time Request** Identify below): Return Receipt Postcard Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Schwabe, Williamson & Wyatt, P.C. Individual name Signature Date August **上**0, 2004 **CERTIFICATE OF TRANSMISSION/MAILING** I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Christine Hurdle Date Signature

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for:

Munter et al.

Application No.: 10/802,586

Filed: 3/17/04

For: POWER AND/OR ENERGY

**OPTIMIZED** 

COMPILE/EXECUTION

Examiner: not yet known

Art Group: not yet known

## **CERTIFICATE OF MAILING**

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Date of Deposit: August 2004

Name of Person Mailing: Christine Hurdle

Signature:

Date August

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Pursuant to 37 C.F.R. § 1.97(g), the submission of this Information Disclosure Statement (IDS) is not to be construed as a representation that a search has been made, and, pursuant to 37 C.F.R. § 1.97(h), the submission of this IDS is not to be construed as an admission that the information cited in this IDS is material to patentability.

This IDS is being submitted under one or more of the following sections of 37 C.F.R. § 1.97 (as indicated by an "X" to the left of the appropriate paragraph(s)): X \_ 37 C.F.R. § 1.97(b). Filed: (1) Within three months of a National Application other than a CPA; (2) Within three months of entry into National Stage: (3) Before mailing of a first Office Action on the merits; or (4) Before mailing of a first Office Action after filing an RCE. 37 C.F.R. § 1.97(c). Filed before the mailing of a Final Office Action. Notice of Allowance, or an action that otherwise closes prosecution. And, ONE of the following: (1) One statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. OR (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed. 37 C.F.R. § 1.97(d). Filed on or before payment of the issue fee. And, BOTH of the following: (1) one statement from 37 C.F.R. § 1.97(e); (e)(1) The person signing below certifies that each item of information contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (e)(2) The person signing below certifies that no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 1.56(c) more than three months prior to filing this IDS. AND (2) The fee set forth in 37 C.F.R. § 1.17(p). A check in the amount of \$180.00 is enclosed.

Accompanying this IDS is a list of references and a copy of each reference listed therein, EXCEPT references pursuant to one or more of the following sections of 37 C.F.R. § 1.98 (as indicated by an "X" to the left of the appropriate paragraph(s)): 37 C.F.R. § 1.98(c) as being cumulative (marked with an "\*" on the Form PTO-1449). 37 C.F.R. § 1.98(d) as being previously submitted in parent application \_/\_\_, to which the present application claims priority under 35 U.S.C. § 120. X Those U.S. Patent references waived from the requirement of 37 C.F.R. § 1.98 (a)(2)(i). The Commissioner is hereby authorized to charge shortages or credit overpayments to Deposit Account No. 500393. Respectfully submitted, SCHWABE, WILLIAMSON & WYATT, P.C. Dated: August 20 2004 Aloysius AuYeung Registration No. 35,432 Pacwest Center, Suites 1600-1900 1211 SW Fifth Avenue Portland, Oregon 97204 Telephone: 503-222-9981

2 3 2004 .

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Substitute for form 1449/PTO

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INFORMATION D	DISCLOSURE
STATEMENT BY	

(Use as many sheets as necessary)

Sheet 1

Complete if Known				
Application Number	10/802,586			
Filing Date	3/17/04			
First Named Inventor	Munter et al.			
Art Unit	not yet assigned			
Examiner Name	not yet assigned			
Attorney Docket Number	111027-136084			

PTO/SB/08A (08-03)

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,682,417	10/28/97	Nitta	
	2	<sup>US-</sup> 5,566,364	10/15/96	Mizoguchi et al.	
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		FORE	IGN PATENT DOCU	MENTS		
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	
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